

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 112959.132US1)

3737
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TECHNOLOGY CENTER R3700

In re Application of: Mendlein et al.) Examiner: Jaworski
Serial No. 09/314,206) Group Art Unit: 3737
Filing Date: May 19, 1999)

For: ULTRASONIC TRANSMISSION FILMS AND DEVICES, PARTICULARLY FOR
HYGIENIC TRANSDUCER SURFACES

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below.

Date: 2/19/04

Sarah Farris
Sarah Farris

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT
UNDER 37 CFR 1.121**

Dear Sir:

In response to the Notice of Non-Compliant Amendment dated February 12, 2004 in the above-referenced application, Applicants respectfully submit the following:

1. Notice of Non-Compliant Amendment dated February 12, 2004 (copy);
2. Replacement Amendment and Response (*with a complete listing of all the claims including those being canceled*); and
3. Return Postcard.

Please charge any fees that might be due in connection with this matter to Deposit Account No. 08-0219. Please contact the undersigned should there be any questions.

Respectfully submitted,

Date: Feb. 19, 2004

Hale and Dorr LLP
60 State Street
Boston, MA 02109
Telephone: (617) 526-6000
Facsimile: (617) 526-5000

Ibrahim M. Hallaj
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Reg. No. 51,768



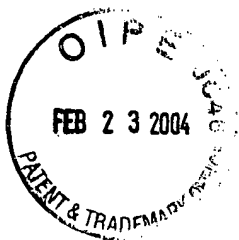
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UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/314,206	05/19/1999	JOHN D. MENDLEIN	SONIC-007.00	4869

23483 7590 02/12/2004

HALE AND DORR, LLP
60 STATE STREET
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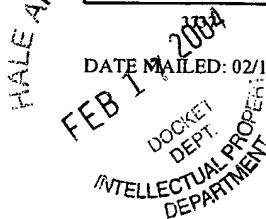


EXAMINER

JAWORSKI, FRANCIS J

ART UNIT PAPER NUMBER

DATE MAILED: 02/12/2004



Please find below and/or attached an Office communication concerning this application or proceeding.

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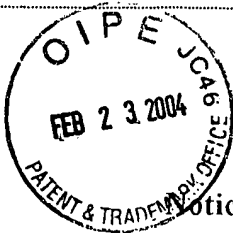
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HALE & DORR DOCKETING

RE: 112459.132 US
Action Date: 3.12.04
Action to be Taken: Resp. Due
Docketed By: 3MB On: 2.17.04



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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ALEXANDRIA, VA 22313-1450
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Paper No.

Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment document filed on 2/2/04 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on June 30, 2003 (see 68 Fed. Reg. 38611, Jun. 30, 2003). In order for the amendment document to be compliant, correction of the following item(s) is required. **Only the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted.** 37 CFR 1.121(h).

THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
- ☐ A. Amended paragraph(s) do not include markings.
 - ☐ B. New paragraph(s) should not be underlined.
 - ☐ C. Other: _____
- ☐ 2. Abstract:
- ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
 - ☐ B. Other: _____
- ☐ 3. Amendments to the drawings: _____
- ☒ 4. Amendments to the claims:
- ☒ A. A complete listing of all of the claims is not present.
 - ☐ B. The listing of claims does not include the text of all claims (including withdrawn claims)
 - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified.
 - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
 - ☐ E. Other: _____

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For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf>.

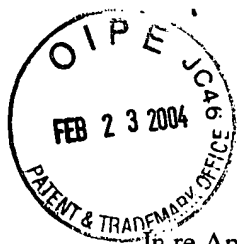
If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given ONE MONTH from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this **ONE MONTH** time limit **is not extendable**.

If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION** (including a submission for an RCE), and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a TIME PERIOD of ONE MONTH from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an Advisory Action. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

Patent Instruments Examiner (LIE)

703-308-2195
Telephone No.



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271 J
2/27

In re Application of: Mendlein et al.) Examiner: Jaworski
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Sarah Farris
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P.O. Box 1450
Alexandria, VA 22313-1450

REPLACEMENT AMENDMENT and RESPONSE

Dear Sir:

Further to the Supplemental Response filed on January 29, 2004 in response to the Office Action mailed on October 22, 2003 and in reply to the outstanding Notice of Non-Compliant Amendment dated February 12, 2004, Applicants respectfully request that this Replacement Amendment and Response be entered in the above-identified application.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 9 of this paper.

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